

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	09/402,820
Filing Date	October 12, 1999
First Named Inventor	Daniel CHAIN
Group Art Unit	1645
Examiner Name	P. Duffy
Attorney Docket Number	CHAIN=1B

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code (if known)			
PAO	AT	4,741,901		Levinson et al	05-03-1988	
PAO	AU	5,851,996		Kline	12-22-1998	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Number			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number	Kind Code <sup>5</sup> (if known)				

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
PAO	AV	SAIDO et al, "Autolytic Transition of $\mu$ -Calpain upon Activation as Resolved by Antibodies Distinguishing between the Pre- and Post-Autolysis Forms", <u>J Biochem</u> 111:81-86 (1992) ✓	
PAO	AW	SAIDO et al, "In situ capture of mu-calpain activation in platelets", <u>J Biol Chem</u> 268(10):7422-7426 (1993) (Abstract Only)	
PAO	AX	SAIDO et al, "Spatial Resolution of the Primary $\beta$ -Amyloidogenic Process Induced in Postischemic Hippocampus", <u>J Biol Chem</u> 269(21):15253-15257 (1994)	

Examiner  
Signature

PATRICIA A. DUFFY

Date

Considered

4-20-03

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.


 NOV 20 2001  
 TECH CENTER 1600-16900

RECEIVED